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DT03 Rec'd PCT/PTO 03 SEP 2004

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FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE
(REV. 07-2004)

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES

2002JP302

DESIGNATED/ELECTED OFFICE (DO/EO/US)

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

NOT ASSIGNED 10/506874

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/JP03/05945

May 13, 2003

May 16, 2002

TITLE OF INVENTION

HYDROPHILIZATION-ACCELERATING AND HYDROPHILICITY-KEEPING AGENTS FOR
POLYSILAZANE-CONTAINING COATING FILMS

APPLICANT(S) FOR DO/EO/US

Tadashi SUZUKI, Hiroshi HARA, Osamu FUNAYAMA, Hideo TAKEUCHI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. EV 540353217 US
23. ☒ Other items or information:

Form PCT/IB/301

Form PCT/IB/304

Form PCT/IB/308

WO 03/097760 A1

101506874

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Tadashi SUZUKI et al.

Rec'd PCT/PTO 03 SEP 2004

2002JP302

Application No. NOT ASSIGNED	Filing Date September 3, 2004	Examiner NOT ASSIGNED	Customer No. 26,289	Group Art Unit NOT ASSIGNED
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Invention:

**HYDROPHILIZATION-ACCELERATING AND HYDROPHILICITY-KEEPING AGENTS FOR
POLYSILAZANE-CONTAINING COATING FILMS**

I hereby certify that the following correspondence:

WIPO Publication of 03/097760 A1 - 1 Page

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September 3, 2004

(Date)

MARIA T. SANCHEZ

(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)

EV 540353217 US

("Express Mail" Mailing Label Number)

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DT04 Recd

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September 3, 2004*(Date)*MARIA T. SANCHEZ*(Typed or Printed Name of Person Mailing Correspondence)*
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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Tadashi SUZUKI et al.

Docket No.

10/506874
20027P902

DT04 Rec'd PCT/PTO 03 SEP 2004

Application No. NOT ASSIGNED	Filing Date September 3, 2004	Examiner NOT ASSIGNED	Customer No. 26,289	Group Art Unit NOT ASSIGNED
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Invention:

**HYDROPHILIZATION-ACCELERATING AND HYDROPHILICITY-KEEPING AGENTS FOR
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I hereby certify that the following correspondence:

Patent Application - 44 Pages

(Identify type of correspondence)

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Tadashi SUZUKI et al.****10/506874**

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I hereby certify that the following correspondence:

Declaration For Utility Or Design Patent Application - 3 Pages*(Identify type of correspondence)*

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International Search Report for PCT/JP03/05945 - 1 Page*(Identify type of correspondence)*

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Tadashi SUZUKI et al.

Docket No.
107506874
2062JP302Application No.
NOT ASSIGNEDFiling Date
September 3, 2004Examiner
NOT ASSIGNEDCustomer No.
26,289Group Art Unit
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Transmittal Letter - 2 Pages

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